TRANSMITTAL FORM  (to be used for all correspondence after initial filing	Filing Date First Named Inventor Art Unit Examiner Name	Approved for use through 04/30/2003. OMB 0651-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE of information unless it displays a valid OMB control number.  D9/687,864  October 13, 2000  Ledbetter  1648  Parkin, Jeffrey S  49076.000002.UTL1			
Total Number of Pages in This Submission 177		1600/200			
	ENCLOSURES (Check all that a	apply)			
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	21,288; and Return Postcard.	Other Enclosure(s) (please Identify below):  ment; Copies of U.S. P/N's 5,945,513; 5,580,773; &			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James W. Collett. Reg. No. 46 636					
or Individual Signature  Date  Mugust 18, 2003		MAILING			
Date Mugust 18, 2003	TIFICATE OF TRANSMISSION/	n the United <u>States Postal Service with s</u> ufficient postage as			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Signature

Date August 18, 2003

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## NITED STATES PATENT AND TRADEMARK OFFICE

In re application of	) Examiner: Parkin, Jeffrey S
Ledbetter et al.	) Art Unit: 1648
Serial No. 09/687,864	RECEIVED
Filed October 13, 2000	AUG 2 6 2003
For: DNA VACCINES ENCODING ANTIGEN LINKED TO A DOMAIN BINDS CD40	TECH CENTER 1600/2900

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Box 1450 Arlington, VA 22313-1450

Sir:

In response to the requirements of 37 C.F.R. § 1.97, applicants call to the attention of the Examiner the following prior art listed on the enclosed PTO Form 1449, which recently came to their attention.

No action on the merits has issued; only a Restriction Requirement which was mailed June 16, 2003. No fee is believed due in connection with the filing of this Supplemental

> CERTIFICATE OF MAILING (37 C.F.R. Section 1.8A)

Service on the date shown below with sufficient postag	erred to as being attached or enclosed) is being deposited with the United States Postal to as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box
august 18, 2003 Date of Deposit	Name of Person Mailing Paper  Signature of Person Mailing Paper

Information Disclosure Statement. If this is incorrect, the Commissioner is authorized to debit our Deposit Account No. 02-4553.

Respectfully submitted,

BUCHANAN INGEROLL P.C.

Bv:

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known			
		Application Number	09/687,864		
INFORMATION DISCLOSURE		Filing Date	October 13, 2000		
		First Named Inventor	Ledbetter		
STATEMENT BY APPLICANT	Art Unit	1648			
(Use as many sheets as necessary)		Examiner Name	Parkin, Jeffrey S		
Sheet	1 of 1	Attorney Docket Number	49076.000002.UTL1		

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 5,945,513	08/31/1999	Aruffo et al.		
		<sup>US-</sup> 5,580,773	12/03/1996	Kang et al.		
		<sup>US-</sup> 5,521,288	05/28/1996	Linsley et al.		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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